TB2535086E

Reg. No :.....

Name :.....

BACHELOR'S DEGREE EXAMINATION, OCTOBER 2025

2024 ADMISSIONS REGULAR

SEMESTER III - MAJOR (COMMERCE)

CO3DSEA03B24 - Legal Framework for Capital Market

Time : 2 Hours **Maximum Marks : 70**

Part A

Answer all questions from the bunch of CO1. Each question carries 2 mark. (2x3=6)

1. State two classifications of Capital Market. [CO1,Understand]
2. State why is the money market considered as short term, while the Capital Market is long term. [CO1,Understand]
3. Describe Secondary Market. [CO1,Understand]

Part A

Answer all questions from the bunch of CO2. Each question carries 2 mark. (2x3=6)

4. List any two features of the Securities Contract (Regulation) Act, 1956. [CO2,Understand]
5. Describe the term Money Laundering. [CO2,Understand]
6. State the year in which the Banking Regulatory Act was enacted. [CO2,Understand]

Part A

Answer all questions from the bunch of CO3. Each question carries 2 mark. (2x3=6)

7. Explain the importance of RBI in regulating payment and settlement systems. [CO3,Understand]
8. State the significance of the RBI in maintaining price stability. [CO3,Understand]
9. Explain why the Reserve Bank of India is called the Central Bank of India. [CO3,Understand]

Part A

Answer all questions from the bunch of CO4. Each question carries 2 mark. (2x3=6)

10. State how do SEBI regulations ensure transparency in the securities market. [CO4,Understand]
11. Differentiate between the primary and secondary objectives of SEBI [CO4,Understand]
12. Explain why SEBI was established in India. [CO4,Understand]

Part B

Answer any 2 questions from the bunch of CO1. Each question carries 6 mark. (6x2=12)

13. Distinguish between the money market and the capital market on any six points. [CO1,Understand]
14. Explain how does the capital market mobilize savings and channel them into productive investment. [CO1,Understand]
15. Discuss the classification of the Capital Market with suitable examples. [CO1,Understand]

Part B

Answer any 2 questions from the bunch of CO2. Each question carries 6 mark. (6x2=12)

16. Explain the role of SEBI in protecting investors in the securities market. [CO2,Understand]
17. Explain the significance of the Companies Act, 2013 in corporate governance. [CO2,Understand]
18. Explain the role of the Banking Regulation Act 1949 in safeguarding the interests of depositors. [CO2,Understand]

Part B

Answer any 2 questions from the bunch of CO3. Each question carries 6 mark. **(6x2=12)**

19. Describe the measures taken by RBI to promote financial inclusion and rural development. [CO3,Understand]

20. State how RBI controls credit and money supply in the economy. [CO3,Understand]

21. Explain the major features of the Reserve Bank of India as the central bank of the country. [CO3,Understand]

Part C

Answer any 1 question from the bunch of CO4. Each question carries 10 mark. **(10x1=10)**

22. Explain reforms or regulatory measures SEBI could adopt to strengthen investor protection in India. [CO4,Understand]

23. Examine the adequacy of SEBI's insider trading regulations in protecting investor confidence. [CO4,Understand]